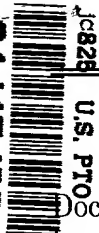


01/15/02



UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. : 47670/PAN/C715/CLO160
Inventor(s) : John Wasserbauer and Jeffrey W. Scott
Title : MIRROR STRUCTURE FOR REDUCING THE EFFECT OF
FEEDBACK ON A VCSEL
Express Mail Label No. : EL904792446US

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

Date: January 15, 2002

1. ☒ **FEE TRANSMITTAL FORM** (Submit an original, and a duplicate for fee processing).

2. **IF A CONTINUING APPLICATION**

_____ This application is a _____ of patent application No. _____.

Prior application information: Examiner ; Group Art Unit:

☒ This application claims priority pursuant to 35 U.S.C. §119(e) and 37 CFR §1.78(a)(4), to provisional Application No. 60/262,261.

3. **APPLICATION COMPRISED OF**

Specification

36 Specification, claims and Abstract (total pages)

Drawings

16 Sheets of formal drawing(s) (FIGS. 1 to 24)

Declaration and Power of Attorney

_____ Newly executed
☒ Unexecuted declaration
_____ Copy from a prior application (37 CFR 1.63(d))(for continuation and divisional)

4. _____ **Microfiche Computer Program** (Appendix)

5. _____ **Nucleotide and/or Amino Acid Sequence Submission** (if applicable, all necessary)

_____ Computer Readable Copy
_____ Paper Copy (identical to computer copy)
_____ Statement verifying identity of above copies

6. **APPLICANT(S) STATUS UNDER 37 CFR § 1.27**

☒ Applicant(s) and any others associated with it/them under § 1.27(a) are a SMALL ENTITY

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UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.: 47670/PAN/C715/CLO160

7. ALSO ENCLOSED ARE

- ☐ Preliminary Amendment
- ☐ Includes "Cross-Reference to Related Applications"
- ☐ A Petition for Extension of Time for the parent application and the required fee are enclosed
- ☐ An Assignment of the invention with the Recordation Cover Sheet and the recordation fee are enclosed
- ☐ This application is owned by pursuant to an Assignment recorded at Reel , Frame
- ☐ Information Disclosure Statement (IDS)/PTO-1449
- ☐ Copies of IDS Citations
- ☐ Certified copy of Priority Document(s) (*if foreign priority is claimed*)
- ☐ English Translation Document (*if applicable*)
- ☒ Return Receipt Postcard (MPEP 503) (should be specifically itemized).
- ☒ Other: Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)

8. CORRESPONDENCE ADDRESS

CHRISTIE, PARKER & HALE, LLP, P.O. BOX 7068, PASADENA, CA 91109-7068
Customer Number: 23363

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By 

Peter A. Nichols
Reg. No. 47,822
626/795-9900

PAN/cks

01/15/02
J1P49 U.S. PTO

01-22-02

**FEE TRANSMITTAL
UTILITY PATENT APPLICATION**

DATE: January 15, 2002

Docket No. : 47670/PAN/C715/CLO160
Inventor(s) : John Wasserbauer and Jeffrey W. Scott
Title : MIRROR STRUCTURE FOR REDUCING THE EFFECT OF FEEDBACK
ON A VCSEL
Duplicate ☒

U.S. PTO
10/05/02
01/15/02

FEE DETERMINATION

| CLAIMS AS FILED | | | | | |
|---------------------------------------|-----------------|-----------------|----------------------|----------------------|----------|
| | NUMBER FILED | NUMBER EXTRA | SMALL ENTITY RATE | LARGE ENTITY RATE | FEE |
| TOTAL CLAIMS | 25 - 20 | 5 | 5 x \$9.00 | x \$18.00 | \$45.00 |
| INDEPENDENT CLAIMS | 3 - 3 | 0 | 0 x \$42.00 | x \$84.00 | \$0.00 |
| MULTIPLE-DEPENDENT CLAIMS FEE | | | \$140.00 | \$280.00 | |
| BASIC FEE | | | \$370.00 | \$740.00 | \$370.00 |
| TOTAL FILING FEE | | | | | \$415.00 |
| List Independent Claims: 1, 20 and 25 | | | | | |

METHOD OF PAYMENT

- ☒ Payment Enclosed: Check for \$415.00
- ☒ The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the **entire pendency** of the application to Deposit Account No. 03-1728. Please show our docket number with any charge or credit to our Deposit Account. **A duplicate copy of this sheet is enclosed.**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By Peter A. Nichols
Peter A. Nichols
Reg. No. 47,822
626/795-9900

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